



2641
7-10-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ritz et al.

: Group Art Unit: 2641

Serial. No.: 10/004,409

Filed: December 6, 2001

For: METHOD AND SYSTEM FOR
CREATING ELECTRONIC MUSIC
FILE BASED ON CODES INPUTTED
BY END USER

: Attorney Docket No.:
052555-5019

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Commissioner of Patents and Trademarks
Washington, D.C. 20231

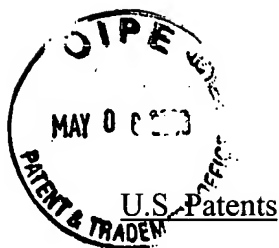
Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications which may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 C.F.R. § 1.56. This information is being submitted before the mailing of the first Office Action on the merits. In accordance with C.F.R. § 1.98(d), copies of patents set forth and listed on the attached Form PTO 1449 (1 sheet) were provided in one or more parent cases and, thus, are not enclosed.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this paper and the documents and/or fees referred to herein as transmitted, submitted or enclosed are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Mail Stop Non-fee Amendment, Commissioner for Patents", P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Name: Alison B. Weisberg Signature:  Date: May 2, 2003



5,237,157	Kaplan	August 17, 1993
5,539,635	Larson, Jr.	July 23, 1996
5,860,068	Cook	January 12, 1999
5,872,588	Aras, et al.	February 16, 1999
5,930,768	Hooban	July 27, 1999
5,966,440	Hair	October 12, 1999
5,991,737	Chen	November 23, 1999
6,160,989	Hendricks, et al.	December 12, 2000
6,233,682	Fritsch	May 15, 2001
6,263,313	Milsted	July 17, 2001
6,311,214	Rhoads	October 30, 2001
6,463,585	Hendricks, et al.	October 8, 2002
6,542,933	Durst, Jr., et al.	April 1, 2003

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Published Patent Applications

Publication No.	Applicant	Publication Date
2001/0027472	Guan	October 4, 2001
2001/0032251	Rhoads, et al.	October 18, 2001
2002/0062261	Mukai	May 23, 2002
2003/0019935	Giannulli	January 30, 2003

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Foreign Patents

Patent Document No.	Patentee/Applicant	Publication Date
WO 01/35410	Fitzpatrick	May 17, 2001
WO 02/44956	Christensen	June 6, 2002

Other Reference

Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p.43, May 1998.

Anon., "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2002.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO 1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein



is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37

C.R.F. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that other material information as defined in 7 C.F.R. § 1.56(b) exists.

The Commissioner is hereby authorized to charge any fees dues in connection with this submission to Deposit Account No. **50-0310**. A duplicate copy of this page is enclosed.

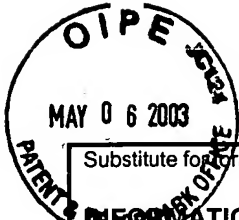
Respectfully submitted,

Dated: May 2, 2003

By: _____

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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number 10/004,409
 Filing Date December 6, 2001
 First Named Inventor Ritz, et al.
 Group Art Unit 2641
 Examiner Name Not Yet Assigned
 Attorney Docket Number 052555-5019

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U.S. PATENT DOCUMENTS

Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
	5,237,157		Kaplan	August 17, 1993
	5,539,635		Larson, Jr.	July 23, 1996
	5,860,068		Cook	January 12, 1999
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	2002/0062261		Mukai	May 23, 2002
	2003/0019935		Giannulli	January 30, 2003

FOREIGN PATENT DOCUMENTS

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	Country Code	Number	Kind Code (if known)			
	WIPO	01/35410		Fitzpatrick	May 17, 2001	
	WIPO	02/44956		Christensen	June 6, 2002	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ₁
	Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p. 43, May 1998.	
	Anon, "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2000.	

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Examiner Signature		Date Considered	
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